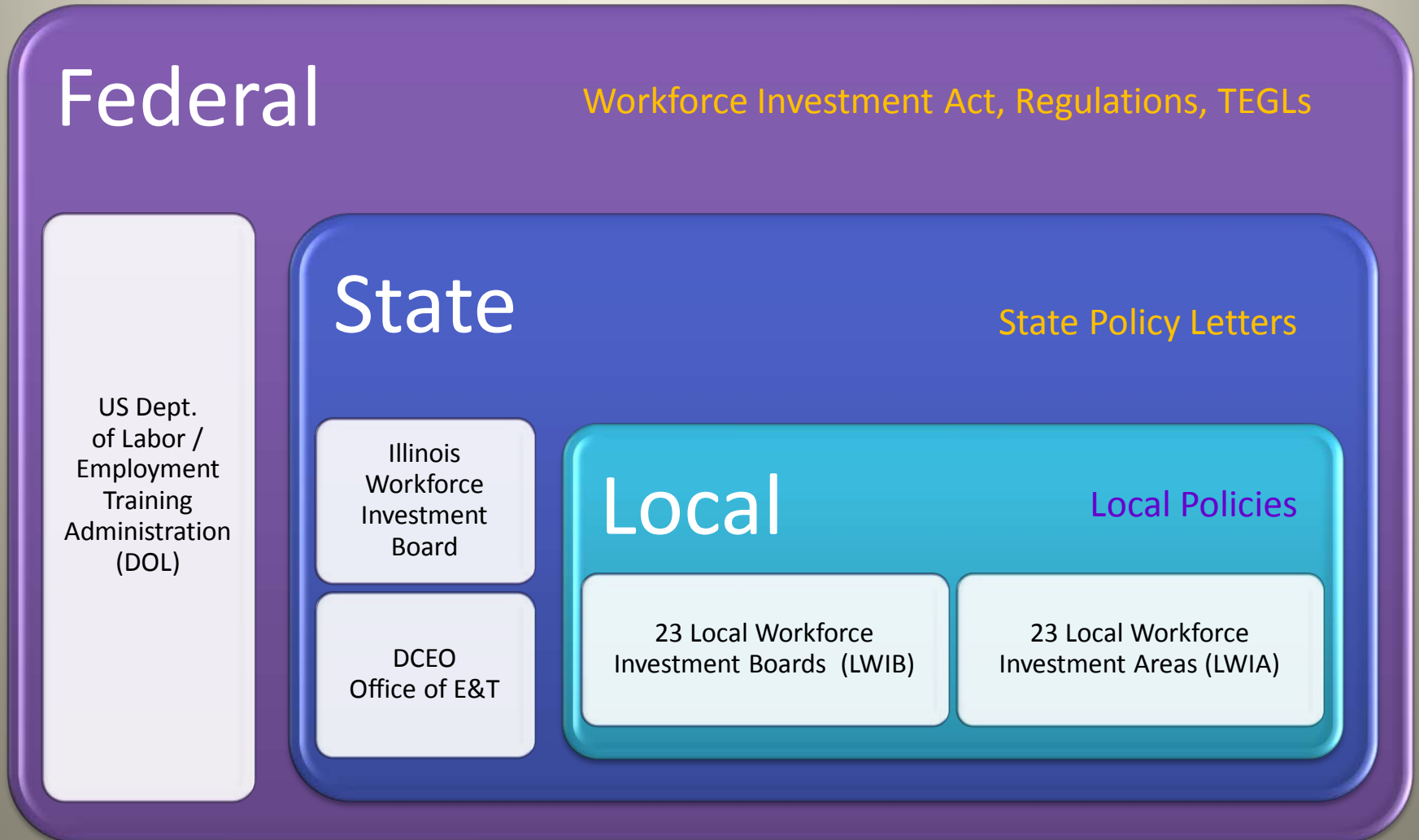




# Workforce Investment Act

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& Economic Opportunity  
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312-814-4069

# A Multi-Level System



# Program Parameters

Individuals must meet various eligibility requirements

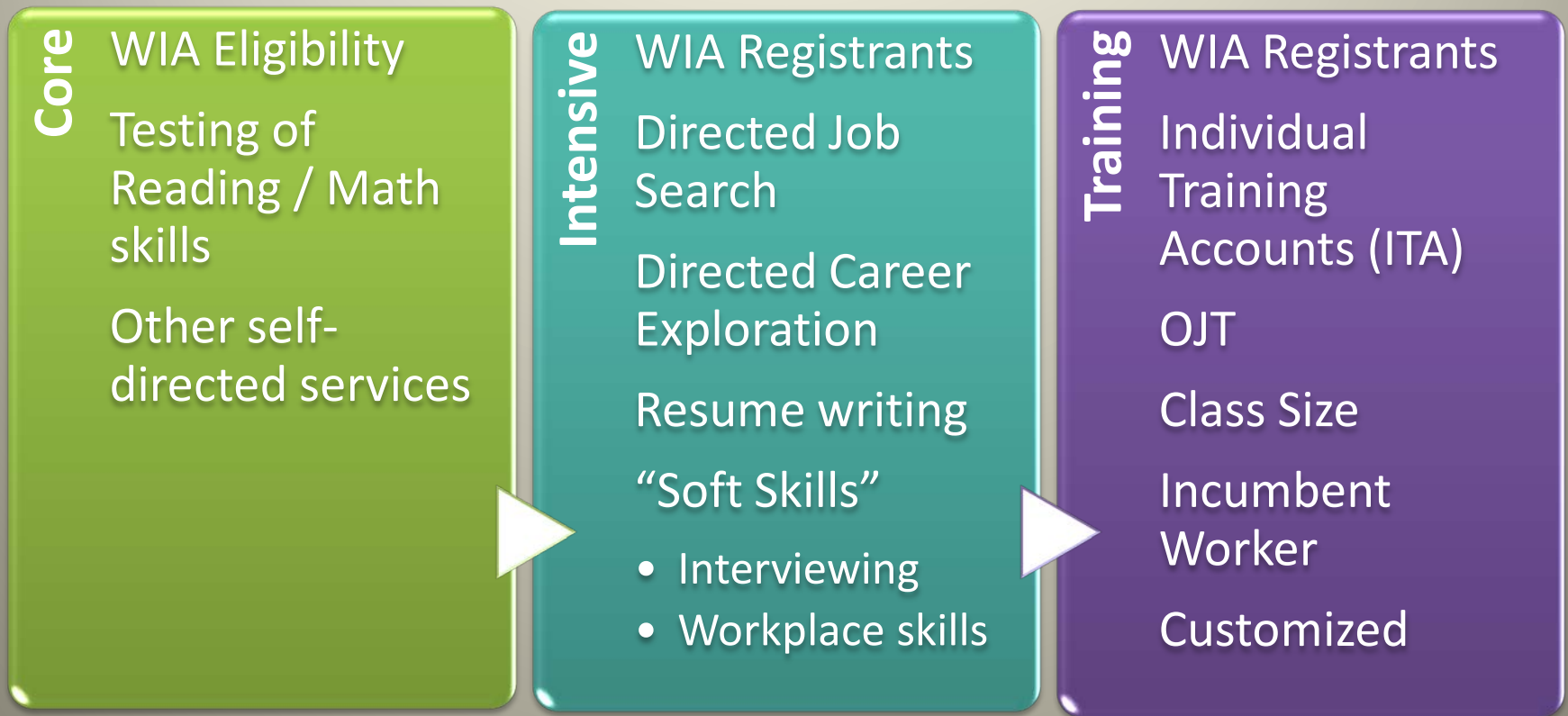
WIA funds cannot be used as a business relocation incentive

Performance driven by tracking customer services & outcomes

LWIBs may approve operating policies within the Act and State Policy  
*(Ex.-supportive service limits, tuition limits)*

# Key Services for Individuals

Must be provided in this sequence, but the sequence can be completed in one visit.



# Key Services to Employers

## Training

### WIA Clients

- Customized Training
- On-the-Job Training
- Work Experience

Incumbent Workers  
(Layoff Aversion)

## Linking Employers to Job Seekers

Pre-screening candidates

Testing

Referral

# WIA Funding Hierarchy

## Federal USDOL

- Awards Formula Grant to States (DCEO) in annual Program Year Allotment
- Awards National Emergency Grants to States as needed
- Awards various other WIA grants through competitive RFAs (Workforce Innovation Fund)

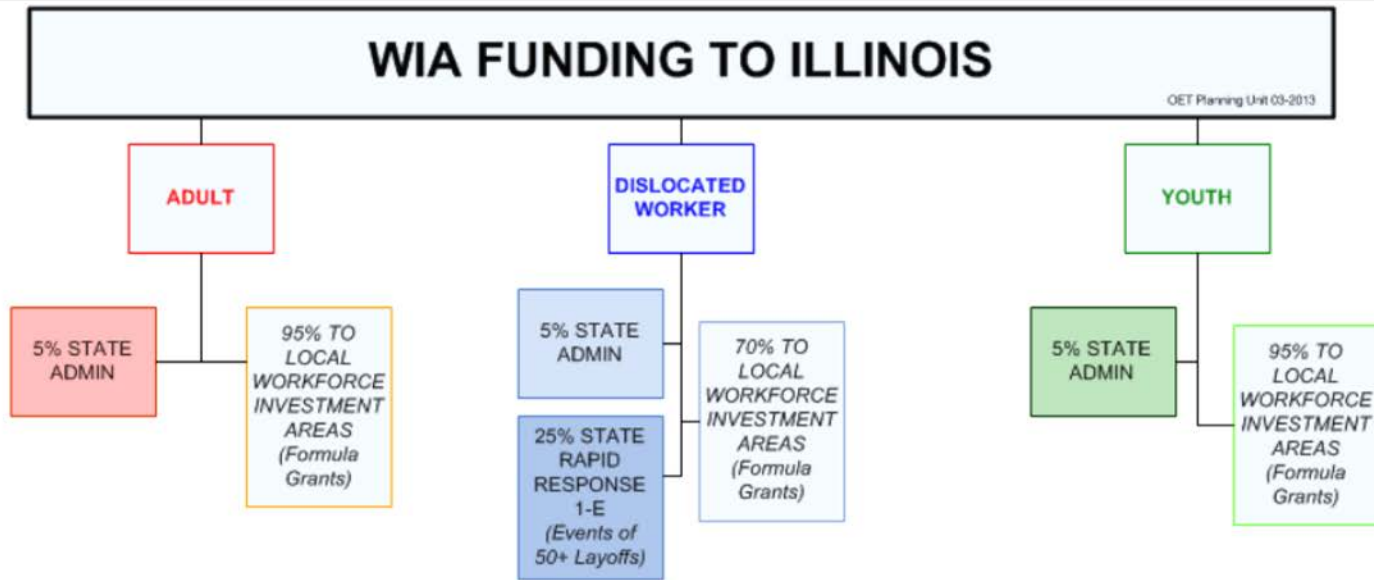
## State DCEO

- Awards formula grants to Local Workforce Investment Areas in annual Program Year Allocation
- Awards Rapid Response grants as needed
- Awards various other WIA grants through RFAs (National Emergency Grants / Workforce Innovation Grants)

## Local LWIAs

- Receives DCEO Grants
- Administers programs in compliance with the various grants
- Plans services
- Serves participants & tracks outcomes

# WIA Funding Streams



## **ADULT ELIGIBILITY**

- \* 18 Years or Older
- \* authorized to work in the US
- \* compliant with selective service requirements
- \* at least 51% of adult clients served must be low income (LWIAs may select a higher percentage)

## **DISLOCATED WORKER ELIGIBILITY**

- \* meets all Adult requirements
- \* and meet at least one of the following:
  - 1) unlikely to return to prior industry or occupation
  - 2) laid off or terminated due to plant closure or substantial layoff
  - 3) formerly self-employed, now unemployed
  - 4) displaced homemaker

## **YOUTH ELIGIBILITY**

- \* age 14-21 at date of registration
- \* low income
- \* at least one of these barriers to employment:
  - 1) deficient in basic literacy skills
  - 2) school dropout
  - 3) homeless / runaway
  - 4) foster child
  - 5) pregnant / parent
  - 6) offender
  - 7) needs help to complete education or secure and hold employment

# Recent Formula Funding to LWIAs

## Comparison of Revised PY'13 vs. PY'12 WIA Allocations

	PY'12 Adult Allocations	PY'13 Adult Allocations	Adult % Change	PY'12 DW Allocations	PY'13 DW Allocations	DW % Change	PY'12 Youth Allocation	PY'13 Youth Allocation	Youth % Change	PY'12 Local Allocations	PY'13 Local Allocations	Change in dollar PY'12 to PY'13	Final PY'13 Allocations % Change
LWIA 1	1,368,752	1,368,977	0.02%	1,899,983	1,129,501	-40.55%	1,458,541	1,396,813	-4.23%	\$4,727,276	\$3,895,291	(\$831,985)	-17.6%
LWIA 2	546,955	612,679	12.02%	610,996	536,809	-12.14%	571,247	621,529	8.80%	\$1,729,198	\$1,771,017	\$41,819	2.4%
LWIA 3	1,016,139	1,176,483	15.78%	1,281,006	1,431,704	11.76%	1,052,830	1,209,454	14.88%	\$3,349,975	\$3,817,641	\$467,666	14.0%
LWIA 4	961,638	832,054	-13.48%	1,249,913	1,386,070	10.89%	960,058	843,324	-12.16%	\$3,171,609	\$3,061,448	(\$110,161)	-3.5%
LWIA 5	1,391,360	1,579,684	13.54%	1,915,965	1,845,910	-3.66%	1,663,972	1,795,710	7.92%	\$4,971,297	\$5,221,304	\$250,007	5.0%
LWIA 6	1,356,315	1,509,565	11.30%	1,294,750	1,468,397	13.41%	1,497,018	1,629,518	8.85%	\$4,148,083	\$4,607,480	\$459,397	11.1%
LWIA 7	13,296,591	13,505,786	1.57%	13,818,197	14,482,476	4.81%	13,286,849	13,737,069	3.39%	\$40,401,637	\$41,725,331	\$1,323,694	3.3%
LWIA 10	1,322,644	1,459,608	10.36%	1,934,629	1,968,755	1.76%	1,418,038	1,531,731	8.02%	\$4,675,311	\$4,960,094	\$284,783	6.1%
LWIA 11	537,689	562,494	4.61%	696,303	612,850	-11.99%	570,227	579,963	1.71%	\$1,804,219	\$1,755,307	(\$48,912)	-2.7%
LWIA 13	426,020	434,234	1.93%	395,179	471,115	19.22%	472,467	460,171	-2.60%	\$1,293,666	\$1,365,520	\$71,854	5.6%
LWIA 14	448,703	486,801	8.49%	348,741	410,219	17.63%	629,291	595,125	-5.43%	\$1,426,735	\$1,492,145	\$65,410	4.6%
LWIA 15	545,010	530,311	-2.70%	756,246	465,842	-38.40%	635,779	608,853	-4.24%	\$1,937,035	\$1,605,006	(\$332,029)	-17.1%
LWIA 16	655,541	685,653	4.59%	645,647	751,146	16.34%	970,463	922,798	-4.91%	\$2,271,651	\$2,359,597	\$87,946	3.9%
LWIA 17	508,268	528,863	4.05%	494,061	427,414	-13.49%	949,543	901,308	-5.08%	\$1,951,872	\$1,857,585	(\$94,287)	-4.8%
LWIA 18	219,607	214,041	-2.53%	238,412	228,911	-3.99%	205,671	230,564	12.10%	\$663,690	\$673,516	\$9,826	1.5%
LWIA 19	317,623	324,697	2.23%	357,650	425,670	19.02%	381,779	358,253	-6.16%	\$1,057,052	\$1,108,620	\$51,568	4.9%
LWIA 20	539,334	628,407	16.52%	520,447	668,730	28.49%	561,598	602,530	7.29%	\$1,621,379	\$1,899,667	\$278,288	17.2%
LWIA 21	416,337	460,922	10.71%	446,766	513,349	14.90%	478,008	490,067	2.52%	\$1,341,111	\$1,464,338	\$123,227	9.2%
LWIA 22	580,104	645,407	11.26%	494,152	621,563	25.78%	650,948	721,253	10.80%	\$1,725,204	\$1,988,223	\$263,019	15.2%
LWIA 23	664,657	722,245	8.66%	652,752	869,909	33.27%	778,176	845,637	8.67%	\$2,095,585	\$2,437,791	\$342,206	16.3%
LWIA 24	834,917	921,590	10.38%	824,271	1,486,068	80.29%	821,997	904,224	10.00%	\$2,481,185	\$3,311,882	\$830,697	33.5%
LWIA 25	583,432	623,112	6.80%	428,336	595,181	38.95%	694,496	694,645	0.02%	\$1,706,264	\$1,912,938	\$206,674	12.1%
LWIA 26	408,504	416,434	1.94%	317,999	393,014	23.59%	420,298	406,436	-3.30%	\$1,146,801	\$1,215,884	\$69,083	6.0%
<b>TOTAL</b>	<b>\$28,946,140</b>	<b>\$30,230,047</b>	<b>4.44%</b>	<b>\$31,622,401</b>	<b>\$33,190,603</b>	<b>4.96%</b>	<b>\$31,129,294</b>	<b>\$32,086,975</b>	<b>3.08%</b>	<b>\$91,697,835</b>	<b>\$95,507,625</b>	<b>\$3,809,790</b>	<b>4.2%</b>
HIGH	13,296,591	13,505,786	16.5%	13,818,197	14,482,476	80.29%	13,286,849	13,737,069	14.88%	40,401,637	41,725,331	1,323,694	33.5%
AVG	1,258,528	1,314,350	6.0%	1,374,887	1,443,070	10.26%	1,353,448	1,395,086	2.47%	3,986,862	4,152,505	165,643	5.5%
LOW	219,607	214,041	-13.5%	238,412	228,911	-40.55%	205,671	230,564	-12.16%	663,690	673,516	-831,985	-17.6%



# Other Funding to LWIAs

## National Emergency Grants

- Mass Layoffs due to large scale economic impact  
Natural disasters, Terrorism, Overall economic downturn

## Rapid Response Grants

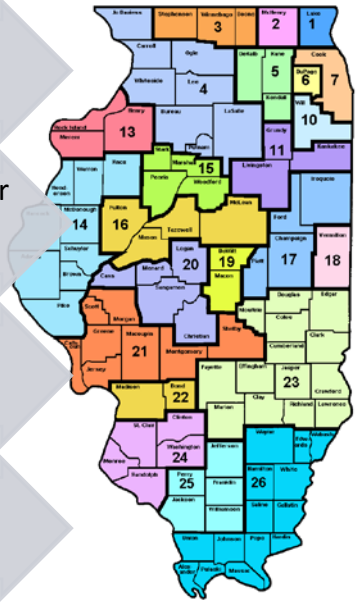
- Mass Layoffs affecting an employer, group of employers or sector beyond the capacity of Formula Dislocated Worker funding

## Trade Adjustment Assistance Grants

- “Trade Certified Event” where jobs are lost due to offshoring or foreign competition

## Special Initiatives

- Special funding opportunities to which LWIAs often may apply  
Summer Youth Employment, Workforce Innovation Fund



### Current Non-Formula Grants to LWIA 5

Grant	Category	Amount (in Thousands)
12-654005	Rapid Response	\$400.0 k

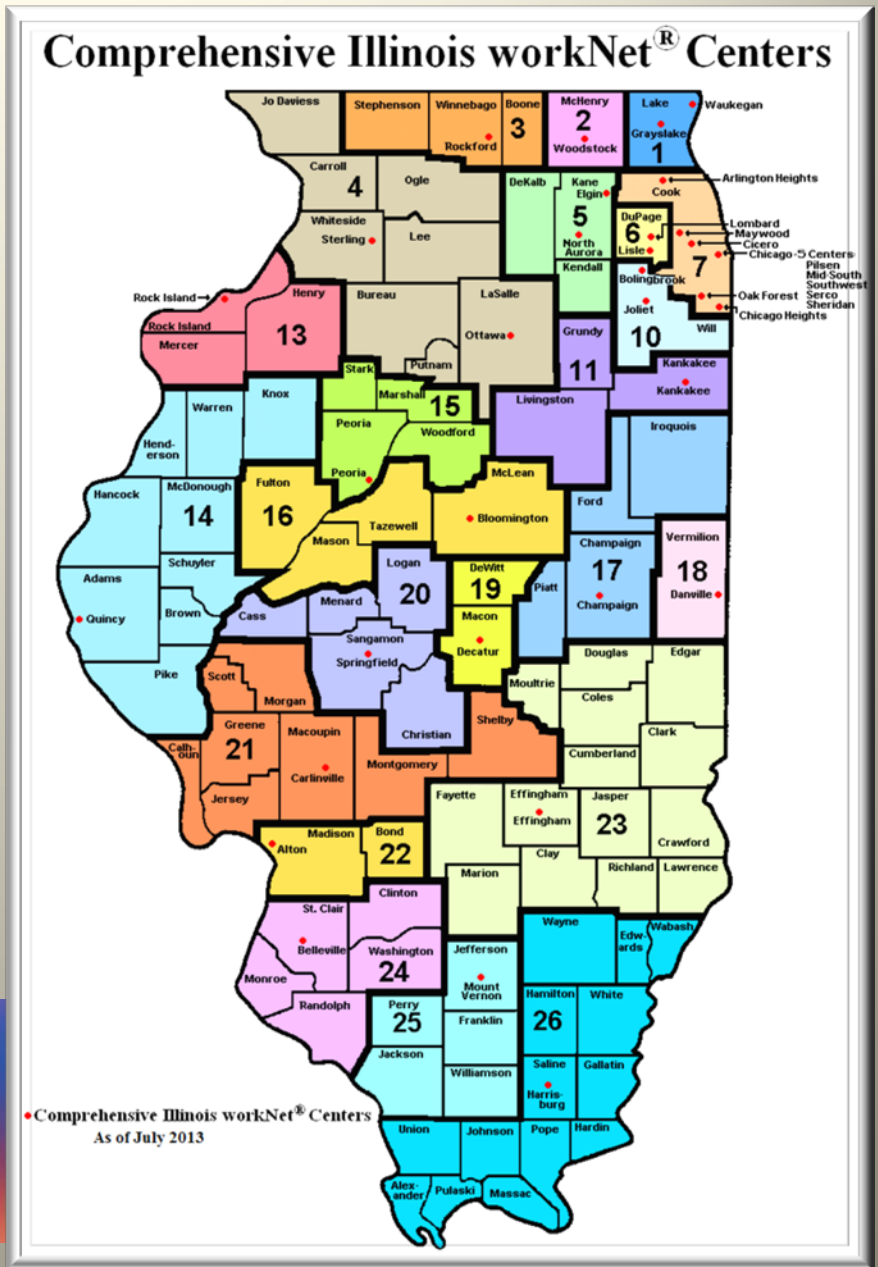


**ACCELERATED  
TRAINING FOR ILLINOIS  
MANUFACTURING**

\$350.0 k Kane / Kendall  
TBD DeKalb

# Illinois LWIAs

- WIA requires at least one “Comprehensive One-Stop” in each LWIA.
- Storefront where clients may receive services from multiple agencies such as IDES, IDHS, Job Corp etc. at one site.
- In Illinois, we call Comprehensive One-Stops **Illinois workNet™ Centers**.
- LWIAs may also maintain additional “satellite” centers with limited services.



# CEO / LWIB Relationship

**CEOs have  
ultimate  
authority &  
responsibility**

Most  
responsibilities  
are performed  
in partnership

CEO/LWIB  
Agreement  
describes how  
the Board and  
CEOs work in  
partnership

# LWIB Mandated Responsibilities

- Know employer workforce needs
- Promote employer participation and *engagement*
- Prioritize
- Develop and approve a comprehensive local area plan
- Submit local plan and plan modifications to DCEO

## 1. Planning



- Direct disbursement of WIA funds
- Work the Plan
- Allocate funding to best address the needs identified in the plan

## 2 Align available funds & effort with these needs



- Select One-Stop operator and other service providers
- One-Stop MOU
- Identify & approve training provider programs
- Appoint Youth Council
- Negotiate performance goals with DCEO

## 3. Administrative tasks



- Obligations & Expenditures
- Key Activities
- CLIENT DATA
  - Enrollments
  - Training
  - Exits
  - Outcomes

## 4. Program Oversight



# Chief Local Elected Officials (CEOs) Role in Workforce Board Activities

The composition of LWIB is prescribed by law. A majority of the members must be from the private sector nominated by business organizations, trade and labor, etc.

- Appointments are made by the CEOs
- The LWIB chair must be from the private sector

LWIB appointments are very important because of the responsibilities LWIBs have including:

- Approval of service provider contracts
  - Direct disbursement of WIA funds
  - Training provider eligibility decisions
  - Effective services to meet employer needs
  - Fund transfers and reporting
-

# Chief Local Elected Officials Role

By law, CEOs are the Grant Recipient. CEOs can designate another entity to be the Grant Recipient and Fiscal Agent. Even if the CEOs designate another Grant Recipient and/or Fiscal Agent:

- CEOs are liable for any misused funds. Repayment must be with non-Federal funds.
- CEOs are always responsible for complying with Federal cost principles and Federal uniform administrative requirements for grants

CEOs must approve LWIB action in several other significant areas.

Examples include:

- How WIA funds will be used
  - The Memorandum of Understanding
  - The five-year local plan and budget
  - Designation and termination of the one-stop operator
-

# River Valley Workforce Board Staffing

- The Workforce Investment Act requires that the budget for local workforce investment boards be approved by the chief elected officials. The chief elected officials must determine that the board costs (including funds for board staff) are essential to the effective operation of the LWIA.
  - LWIA 5 is moving to a consortium staffing model that supports board operations through a combination of sources and in a manner that keeps additional administrative costs to a minimum.
  - There are 9 LWIAs that use the consortium staffing model. For those LWIAs presently using the consortium staffing model, 3-5 organizations contribute to staffing the functions of the local workforce investment board.
-

# Grant Recipient/Fiscal Agent

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Kane County is the grant recipient for all workforce grants between the IL Department of Commerce and Economic Opportunity and Local Workforce Investment Area 5.

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Kane County is responsible for the fiscal management of all workforce grant funds including the monthly reporting of costs in the State's accounting system (GRS).

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Kane County must comply with all of the standard terms and conditions of the grant agreement including quarterly reports and audit reports.



# Grant Recipient Responsibilities

## **MAINTAIN FISCAL RECORDS**

- Official accounting records detailing expenditures for grant funds
- Invoices, supporting documentation & proof of payment
- Official payroll documentation to validate reported hourly wage & fringe benefits
- All contracts or sub-grants paid from grant funds

## **TRACK GRANT EXPENDITURES**

- Costs must be incurred during the authorized period of the Grant Agreement.
- Costs must be directly related to the conduct of the project activities authorized in the Grant
- The Grantee must maintain adequate source documentation to support the allowability of the expenditures.

## **SUBMIT REQUIRED REPORTS**

- The OET requires monthly reporting of costs
- DCEO requires quarterly program and fiscal reports for each grant
- DCEO and DOL requires that grant funds be included in the Grantees annual audit

# Kane County Workforce Operations

- Program Management
- Service Delivery
- Fiscal Management

