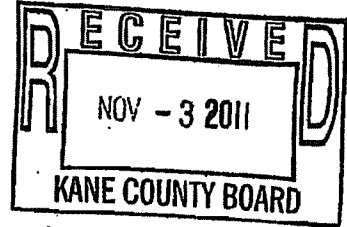


County of Kane  
Office of County Board  
Kane County Government Center



Karen McConnaughay  
Chairman  
630-232-5930



719 Batavia Avenue  
Geneva, Illinois 60134  
Fax 630-232-9188

**DOCUMENT VET SHEET**

for  
Karen McConnaughay  
Chairman, Kane County Board

Name of Document: HHS Funding Agreement

Submitted by: Scott Berger

Date Submitted: Nov 3, 2011

Examined by: KC Shepard  
(Print name)

[Signature]  
(Signature)

11-10-2011  
(Date)

Post on Web: Yes  No  Atty. Initials KCS

Comments: For 2011 Federal Awards. Need Chairmans signature.

(3) Funding Approval / Agreements

(3) Home Investment Partnership Act forms

\*Return to Scott Berger once forms are Vetted & signed

Chairman signed: Yes  No  \_\_\_\_\_  
(Date)

Document returned to: \_\_\_\_\_



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Illinois State Office  
77 W. Jackson Blvd.  
Chicago, Illinois 60604-3507

OFFICE OF  
COMMUNITY PLANNING & DEVELOPMENT

OCT 12 2011

Karen McConnaughay  
Chairman, Kane County Board  
Attention: Scott Berger  
Director of Community Reinvestment  
719 Batavia Avenue  
Geneva, IL 60134

Dear Ms. McConnaughay:

SUBJECT: Action Plan Approval and Transmittal  
Of Grant Agreements  
Program Year 2011  
Kane County, Illinois

I am pleased to inform you of your community's Fiscal Year (FY) 2011 allocations for the Office of Community Planning and Development's (CPD) formula programs, which provide funding for housing, community and economic development activities, and assistance for low- and moderate-income persons and special populations across the country. President Obama signed Public Law 112-10 on April 15, 2011, a Continuing Resolution, which includes FY 2011 funding for these programs. Your community's FY 2011 available amounts are:

Community Development Block Grant (CDBG)	\$ 1,078,979
HOME Investment Partnerships	\$ 718,085

The FY 2011 Continuing Resolution significantly reduced funding for the CDBG and HOME programs compared to the FY 2010 levels. The CDBG overall funding was reduced by more than \$600 million, resulting in allocation reductions of approximately 16.5 percent—reduction percentages may vary by grantee due to the use of updated population estimates and other factors. HOME program funding was reduced by more than \$200 million—approximately 11.7 percent. Grantees that have already submitted their Annual Action Plan based on an anticipated amount higher than the FY 2011 allocations for these programs must revise their Action Plan consistent with the allocation and applicable amendment requirements. We are available to provide additional information regarding amendment requirements.

There are several important program priorities I would like to highlight for the upcoming year. First, CPD is working on enhancements to the Consolidated Plan. The "Con Plan" has been effectively unchanged since its inception in the mid-1990's, and there is general agreement that the content must be improved to make it more relevant and meaningful to decision makers and the public. Further, both CPD and its program partners understand that the consolidated planning process must be simplified, and unnecessary burden reduced or eliminated through better use of technology, including linking the Consolidated Plan to the Integrated Disbursement and Information System (IDIS) and eliminating the need to prepare separate Consolidated Annual Performance Evaluation Reports (CAPERS). CPD will be previewing the Consolidated Plan revisions throughout the summer and fall through webinars, stakeholder meetings, and at [www.hud.gov/cpd](http://www.hud.gov/cpd). I urge you and your staff to review the proposed changes and to provide feedback as CPD looks to launch the revised Consolidated Plan in the spring of 2012.

Second, CPD is moving rapidly to implement the OneCPD technical assistance process. Under OneCPD, technical assistance will adopt a place-based strategy with the goal of improving the ability of grantees to understand their local market conditions, set goals, and design and effectively carry out their housing and community development programs. This is particularly important as many local governments continue to struggle with budgetary pressures resulting from the economic downturn.

Finally, HUD again urges grantees to consider the needs of returning veterans and their families in the design and administration of these formula programs. Our fellow Americans have served our nation on the battlefields of Iraq and Afghanistan and seemingly countless other places around the globe. Many veterans are returning to our communities with wounds and injuries that may make it difficult for them to find housing or support themselves and/or their families. I ask that you consider their sacrifice and ensure that these men and women receive every appropriate consideration in the use of these funds at the local level.

CPD looks forward to working with you in a true partnership to successfully meet the challenges we face in FY 2011. Please contact me if you or your staff have questions or comments.

Sincerely,



Ray E. Willis  
Director

**COMMUNITY DEVELOPMENT BLOCK GRANT ENTITLEMENT AWARD**  
**Fiscal Year 2011**

**KANE COUNTY, ILLINOIS**

**Grant No. B-11-UC-17-0008**

**Entitlement Amount: \$1,078,979**

Attached is the Funding Approval/Agreement (three copies of HUD-7082), which constitutes the contract between the Department of Housing and Urban Development and **Kane County, Illinois**. You should note particularly any special conditions included in **Item 8** of the Funding Approval. Failure to execute and return the Grant Agreement within 60 days of the transmittal date may be deemed to constitute rejection of the grant and cause for HUD to determine that the funds are available for reallocation to other grantees.

The special condition in your Grant Agreement and Funding Approval concerning the review procedures under Executive Order (E.O.) 12372, Intergovernmental Review of Federal Programs and HUD's implementing regulations at 24 CFR Part 52 restricts the obligation or expenditure of funds for the planning or construction of water or sewer facilities until the completion of the review process and receipt of written notification of release of funds from HUD. Since you have not submitted your Consolidated Plan for review under E.O. 12372, we assume you do not propose to use funds for activities subject to review. However, the condition requires that in the event you amend or otherwise revise your Consolidated Plan to use funds for the planning or construction of water or sewer facilities, you must receive written release of funds from HUD before obligating or expending funds for such activities.

In order to establish a Line of Credit for the Fiscal Year 2011 grant, it will be necessary for you to execute and return two copies of the Grant Agreement. In addition, if there is a need to delete or add individuals authorized to access the Voice Response System (VRS), a VRS Security Access Authorization Form (HUD-27054) must be prepared, notarized, and returned to this office with the Grant Agreement. Also, if there is a need to establish or change the depository account to which these grant funds are to be wired, a Direct Deposit Sign-Up Form (SF-1199A) must be completed by you and your financial institution and mailed to this office.

You are reminded that CDBG entitlement funds must be drawn on a first in first out (FIFO) basis. This means that before requesting CDBG entitlement funds from a newly established Line of Credit, you should have first drawn all the funds from the previous years' Line(s) of Credit. Please note that the FIFO method only applies to CDBG entitlement program funds.

Upon receipt of the executed Grant Agreement (two copies) and other forms required to establish your Line of Credit, HUD will transmit to you the assigned Voice Response project number for this grant.

You are reminded that certain activities are subject to the provisions of 24 CFR Part 58 (Environmental Review Procedures for the Community Development Block Grant Program). Funds for such activities may not be obligated or expended unless the release of funds has been approved in writing by HUD. A request for the release of funds must be accompanied by an environmental certification.

# Funding Approval/Agreement

Title I of the Housing and Community Development Act (Public Law 930383)  
 HI-00515R of 20515R

**U.S. Department of Housing and Urban Development**  
 Office of Community Planning and Development  
 Community Development Block Grant Program

1. Name of Grantee (as shown in item 5 of Standard Form 424) <b>COUNTY OF KANE</b>		3a. Grantee's 9-digit Tax ID Number: <b>36-6006585</b>	3b. Grantee's DUNS Number: <b>010221786</b>	4. Date use of funds may begin (mm/dd/yyyy): <b>05/01/11</b>
2. Grantee's Complete Address (as shown in item 5 of Standard Form 424) <b>719 BATAVIA AVENUE GENEVA, IL 60134</b>		5a. Project/Grant No. 1 <b>B-11-UC-17-0008</b>		6a. Amount Approved <b>\$1,078,979</b>
		5b. Project/Grant No. 2		6b. Amount Approved
		5c. Project/Grant No. 3		6c. Amount Approved

**Grant Agreement:** This Grant Agreement between the Department of Housing and Urban Development (HUD) and the above named Grantee is made pursuant to the authority of Title I of the Housing and Community Development Act of 1974, as amended, (42 USC 5301 et seq.). The Grantee's submissions for Title I assistance, the HUD regulations at 24 CFR Part 570 (as now in effect and as may be amended from time to time), and this Funding Approval, including any special conditions/addendums, constitute part of the Agreement. Subject to the provisions of this Grant Agreement, HUD will make the funding assistance specified here available to the Grantee upon execution of the Agreement by the parties. The funding assistance specified in the Funding Approval may be used to pay costs incurred after the date specified in item 4 above provided the activities to which such costs are related are carried out in compliance with all applicable requirements. Pre-agreement costs may not be paid with funding assistance specified here unless they are authorized in HUD regulations or approved by waiver and listed in the special conditions to the Funding Approval. The Grantee agrees to assume all of the responsibilities for environmental review, decision making, and actions, as specified in regulations issued by the Secretary pursuant to Section 104(g) of Title I and published in 24 CFR Part 58. The Grantee further acknowledges its responsibility for adherence to the Agreement by sub-recipient entities to which it makes funding assistance hereunder available.

**PLEASE**

U.S. Department of Housing and Urban Development (By Name) <b>RAY E. WILLIS</b>	Grantee Name <b>KAREN MC CONNAUGHAY</b>
Title <b>DIRECTOR, COMMUNITY PLANNING &amp; DEV.</b>	<b>CHAIRMAN</b>
Signature <i>Ray E. Willis</i>	Signature <i>Karen McConaughay</i>
Date (mm/dd/yyyy) <b>OCT 12 2011</b>	Date (mm/dd/yyyy)

7. Category of Title I Assistance for this Funding Action (check only one) <input checked="" type="checkbox"/> a. Entitlement, Sec 106(b) <input type="checkbox"/> b. State-Administered, Sec 106(d)(1) <input type="checkbox"/> c. HUD-Administered Small Cities, Sec 106(d)(2)(B) <input type="checkbox"/> d. Indian CDBG Programs, Sec 106(a)(1) <input type="checkbox"/> e. Surplus Urban Renewal Funds, Sec 112(b) <input type="checkbox"/> f. Special Purpose Grants, Sec 107 <input type="checkbox"/> g. Loan Guarantee, Sec 108	8. Special Conditions (check one) <input type="checkbox"/> None <input type="checkbox"/> Attached	9a. Date HUD Received Submission (mm/dd/yyyy) <b>04/15/11</b>	10. check one <input checked="" type="checkbox"/> a. Orig. Funding Approval <input type="checkbox"/> b. Amendment Amendment Number
		9b. Date Grantee Notified (mm/dd/yyyy)	
11. Amount of Community Development Block Grant			
	FY (11)	FY ( )	FY ( )
a. Funds Reserved for this Grantee	<b>\$1,078,979</b>		
b. Funds now being Approved	<b>\$1,078,979</b>		
c. Reservation to be Cancelled (11a minus 11b)			

12a. Amount of Loan Guarantee Commitment now being Approved	12b. Name and complete Address of Public Agency
<b>Loan Guarantee Acceptance Provisions for Designated Agencies:</b> The public agency hereby accepts the Grant Agreement executed by the Department of Housing and Urban Development on the above date with respect to the above grant number(s) as Grantee designated to receive loan guarantee assistance, and agrees to comply with the terms and conditions of the Agreement, applicable regulations, and other requirements of HUD now or hereafter in effect, pertaining to the assistance provided it.	12c. Name of Authorized Official for Designated Public Agency
	Title
	Signature

**HUD Accounting use Only**

Batch	TAC	Program Y	A Reg	Area	Document No.	Project Number	Category	Amount	Effective Date (mm/dd/yyyy)	F
	153									
	176									
		Y				Project Number		Amount		
		Y				Project Number		Amount		

Date Entered PAS (mm/dd/yyyy)	Date Entered LOCCS (mm/dd/yyyy)	Batch Number	Transaction Code	Entered By	Verified By
-------------------------------	---------------------------------	--------------	------------------	------------	-------------

## **ADDENDUM TO GRANT AGREEMENT FOR CDBG**

In addition to the conditions contained on form HUD 7082, the grantee shall comply with requirements established by the Office of Management and Budget (OMB) concerning the Dun and Bradstreet Data Universal Numbering System (DUNS), the Central Contractor Registration (CCR) database, and the Federal Funding Accountability and Transparency Act, including Appendix A to Part 25 of the *Financial Assistance Use of Universal Identifier and Central Contractor Registration*, 75 Fed. Reg. 55671 (Sept. 14, 2010)(to be codified at 2 CFR part 25) and Appendix A to Part 170 of the *Requirements for Federal Funding Accountability and Transparency Act Implementation*, 75 Fed. Reg. 55663 (Sept. 14, 2010)(to be codified at 2 CFR part 170).

**HOME INVESTMENT PARTNERSHIP ACT**

**Fiscal Year 2011**

**Kane County, Illinois  
Grant No. M-11-DC-17-0220**

**HOME Amount: \$ 718,085**

Attached are three copies of the Funding Approval Form (HUD-40093) indicating an obligation of **\$ 718,085** in HOME program funding. Please sign these forms (three original signatures), retain one copy for your records and return the remaining two copies of the Chicago Office of the U.S. Department of Housing and Urban Development (HUD).

In order to establish a Line of Credit for the Fiscal Year 2011 grant, it will be necessary for you to execute and return two copies of the Grant Agreement. In addition, if there is a need to delete or add individuals authorized to access the Intergrated Disbursement and Information System (IDIS), and IDIS Access Form (copy attached) must be prepared, notarized, and returned to this office with the Grant Agreement. Also, if there is a need to establish or change the depository account to which these grant funds are to be wire, a Direct Deposit Sign-Up Form (SF-1199A) must be completed by you and our financial institution and mailed to this office.

We strongly recommend that you review the record keeping requirements provided in the HOME program regulations at section 92.508. You must establish and maintain sufficient records to enable HUD to determine that program requirements are being met. ~~This section of the regulations will be very beneficial to you as you organize and commit local staff resources to the HOME program.~~

You are reminded that certain HOME activities are subject to the provisions of 24 CFR Part 58. Environmental Review Procedures - 92.352 of the HOME regulations - provide that you, as the Participating Jurisdiction (PJ), must carry out the environmental review of an activity and obtain a written release from the Chicago Office before obligating or expending HOME funds. A request for the Release of funds for a given HOME activity must be sent to HUD and must be accompanied by an Environmental Certification.



Funding Approval and HOME  
**Investment Partnerships Agreement**  
 Title II of the National Affordable Housing Act

**U.S. Department of Housing  
 and Urban Development**  
 Office of Community Planning  
 and Development

OMB Approval No. 2506-0171  
 (Exp. 12/31/2012)

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

1. Participant Name and Address KAREN MC CONNAUGHAY, CHAIRMAN, KANE COUNTY  719 BATAVIA AVENUE  GENEVA, IL 60134		2. Participant Number M-11-DC-17-0220	
		3. Tax Identification Number 36-6006585	4. DUNS Number 010221786
		4. Appropriation Number	5. FY (yyyy) 2011
6. Previous Obligation (Enter "0" for initial FY allocation)			\$0.00
a. Formula Funds		\$	
b. Community Housing Development Org. (CHDO) Competitive		\$	
7. Current Transaction (+ or -)			\$718,085
a. Formula Funds		\$718,085	
1. CHDO (For deobligations only)		\$	
2. Non- CHDO (For deobligations only)		\$	
b. CHDO Competitive Reallocation or Deobligation (see #18 below)		\$	
8. Revised Obligation			\$
a. Formula Funds		\$	
b. CHDO Competitive Reallocation		\$	
9. Special Conditions (check applicable box) <input type="checkbox"/> Not applicable <input type="checkbox"/> Attached		10. Date of Obligation (Congressional Release Date) (mm/dd/yyyy) / / <b>OCT 12 2011</b>	

This Agreement between the Department of Housing and Urban Development (HUD) and the Participating Jurisdiction/Entity is made pursuant to the authority of the HOME Investment Partnerships Act (42 U.S.C. 12701 et seq.). The Participating Jurisdiction's /Entity's approved Consolidated Plan submission/Application and the HUD regulations at 24 CFR Part 92 (as is now in effect and as may be amended from time to time) and this HOME Investment Partnership Agreement, form HUD-40093, including any special conditions, constitute part of this Agreement. Subject to the provisions of this Agreement, HUD will make the funds for the Fiscal Year specified, available to the Participating Jurisdiction/Entity upon execution of this Agreement by the parties. All funds for the specified Fiscal Year provided by HUD by formula reallocation are covered by this Agreement upon execution of an amendment by HUD, without the Participating Jurisdiction's execution of the amendment or other consent. HUD's payment of funds under this Agreement is subject to the Participating Jurisdiction's/Entity's compliance with HUD's electronic funds transfer and information reporting procedures issued pursuant to 24 CFR 92.502. To the extent authorized by HUD regulations at 24 CFR Part 92, HUD may, by its execution of an amendment, deobligate funds previously awarded to the Participating Jurisdiction/Entity without the Participating Jurisdiction's/Entity's execution of the amendment or other consent. The Participating Jurisdiction/Entity agrees that funds invested in affordable housing under 24 CFR Part 92 are repayable when the housing no longer qualifies as affordable housing. Repayment shall be made as specified in 24 CFR Part 92. The Participating Jurisdiction agrees to assume all of the responsibility for environmental review, decision making, and actions, as specified and required in regulation at 24 CFR 92.352 and 24 CFR Part 58.

The Grantee shall comply with requirements established by the Office of Management and Budget (OMB) concerning the Dun and Bradstreet Data Universal Numbering System (DUNS), the Central Contractor Registration (CCR) database, and the Federal Funding Accountability and Transparency Act, including Appendix A to Part 25 of the Financial Assistance Use of Universal Identifier and Central Contractor Registration, 75 Fed. Reg. 55671 (Sept. 14, 2010) (to be codified at 2 CFR part 25) and Appendix A to Part 170 of the Requirements for Federal Funding Accountability and Transparency Act Implementation, 75 Fed. Reg. 55663 (Sept. 14, 2010) (to be codified at 2 CFR part 170).

11. For the U.S. Department of HUD (Name and Title of Authorized Official) RAY E. WILLIS, DIRECTOR, COMMUNITY PLANNING & DEVELOPMENT	12. Signature <i>Ray E. Willis</i>	13. Date <b>OCT 12 2011</b>
14. For the Participating Jurisdiction/Entity (Name and Title of Authorized Official) KAREN MC CONNAUGHAY, CHAIRMAN, KANE COUNTY	15. Signature <i>Karen McConaughay</i>	16. Date / /

17. Check one:  
 Initial Agreement     Amendment #

18. Funding Information: *HOME*  

<u>Source of Funds</u>	<u>Appropriation Code</u>	<u>PAS Code</u>	<u>Amount</u>
FY 2011	861/30205	HMC	\$718,085

**PLEASE**