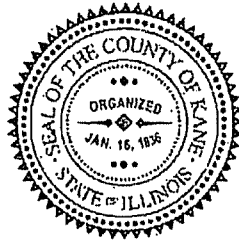


COUNTY OF KANE

Christopher J. Lauzen
Kane County Board Chairman



Kane County Government Center
719 South Batavia Avenue
Geneva, IL 60134
P: (630) 232-5930
F: (630) 232-9188
clauzen@kanecoboard.org
www.countyofkane.org

RECEIVED
SEP 25 2013
KANE COUNTY BOARD

DOCUMENT VET SHEET

for
Christopher J. Lauzen
Chairman, Kane County Board

Name of Document: Trade Adjustment Act Program – Grant Modification Resolution No.: 13-223

Submitted by: Renata Robinson

Dept. Head Signature: *Scott Dwyer*

Date Submitted: September 25, 2013

Dept. Head Sign-off Date: 9-25-13

Examined by:

Joseph Lulves
(Print name)

Joseph Lulves
(Signature)

9-25-13
(Date)

Post on the Web: YES NO Atty. Initials: *JTR*

Comments: Attached is the grant modification package as required by the funding agency – Department of Commerce and Economic Opportunity (DCEO). As noted in the cover letter, no additional funds are being requested and the package serves only to formally update the grant with two additional employer events – Experian Marketing and Hostess Brands.

Chairman signed: YES NO 9/25/13
(Date)

Document returned to: R. Thompson / KCDEE
(Name/Department)

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 13 - 223

**AUTHORIZING AGREEMENTS FOR WORKFORCE INVESTMENT ACT AND TRADE
ADJUSTMENT ACT FUNDING FOR PROGRAM YEAR 2013**

WHEREAS, Kane County administers Federal funding provided by the Illinois Department of Commerce and Economic Opportunity for a three-county area, including Kane, DeKalb, and Kendall Counties; and

WHEREAS, said funding supports training and job readiness services to adults, dislocated workers, and youth; and

WHEREAS, Kane County has been notified that it will receive on behalf of the three-county area a formula allocation under the Workforce Investment Act for Program Year 2013 in the amount of \$5,221,304, and that it may receive allocations of Trade Adjustment Act funds to serve impacted workers during the year; and

WHEREAS, the River Valley Workforce Investment Board has approved several subrecipient organizations to provide services to adults and dislocated workers in DeKalb County, and youth throughout the three-county area.

NOW, THEREFORE, BE IT RESOLVED that the Chairman of the Kane County Board is authorized to accept the Workforce Investment Act and Trade Adjustment Act funds awarded during Program Year 2013 and to sign grant agreements, certifications and other documents required by the Illinois Department of Commerce and Economic Opportunity to accept said awards.

BE IT FURTHER RESOLVED that the Chairman of the Kane County Board is authorized to sign agreements with the subrecipient organizations named below for the activities and up to the amounts specified for Program Year 2013.

PROGRAM YEAR 2013 ADULT/DISLOCATED WORKER SUBRECIPIENTS

Subrecipient Name	Activity	Max. Amount
First Institute Training & Management	Deliver workforce services in DeKalb County to prepare eligible job seekers for employment and to provide employers access to grant dollars.	\$528,955.00
Kishwaukee College	Provide resources to support job seekers in gaining employment through management of a public resource center in DeKalb.	\$75,037.00
Total		\$603,992.00

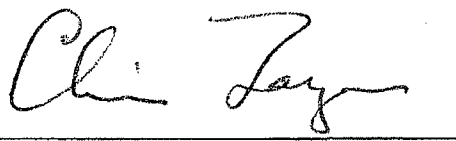
PROGRAM YEAR 2013 YOUTH SERVICES SUBRECIPIENTS

Subrecipient Name	Activity	Max. Amount
Business & Career Services	Career readiness and internship placement specifically in Manufacturing.	\$144,707.00
Elgin Community College	Career counseling and training with supervised internship/employment opportunities.	\$176,405.00
Grundy/Kendall Regional Office of Educ.	Employment readiness through academic remediation, mentoring and supervised work experience opportunities.	\$142,425.00
Jewish Vocational Services	Employment readiness through academic remediation, mentoring and supervised work experience opportunities.	\$182,810.00
Kendall County Special Ed Coop	Assist HS seniors with disabilities with transitioning into education or employment opportunities.	\$86,629.00
Kishwaukee College	Career counseling and training with exposure to work through job shadowing.	\$230,844.00
Quad County Urban League	Employment readiness training and assistance in entering post secondary education.	\$257,096.00
Waubensee Community College	Career counseling and training with academic support and tutoring.	\$198,167.00
Total		\$1,419,083.00

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
480.98113	WIA PY13	Yes	Yes	N/A
480.98310	Trade Adjustment Act	Yes	Yes	N/A

Passed by the Kane County Board on July 9, 2013.


 John A. Cunningham
 Clerk, County Board
 Kane County, Illinois


 Christopher J. Lauzen
 Chairman, County Board
 Kane County, Illinois

Vote:
 Yes 22
 No 0
 Voice 0
 Abstentions 0

KCDEE

Kane County Department of Employment & Education
Enhancing workforce skills - Helping organizations succeed

September 25, 2013

Ms. Susan Boggs
Illinois Department of Commerce and Economic Opportunity
Office of Employment and Training
500 East Monroe, 9th Floor
Springfield, IL 62701-1643
Attn: Ms. Crystal Bigelow

Re: Grant No(s): 11-661005

Dear Susan:

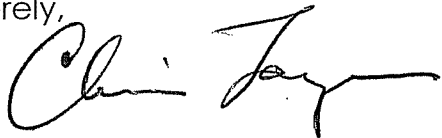
Please accept this letter and the attached grant document as Kane County's no cost modification request for Trade grant number 11-661005. The modification incorporates two additional employer events. Kane County does not require additional funds through this 11-661005 modification. Two additional employer events were added to the list below.

Hartford Financial Services Group
Caterpillar
Motorola
SKF
Havi Logistics of North America
*Hostess Brands (NEW)
*Experian Marketing Solutions (NEW)

Capgemini
Ametek
Cinram Distribution, LLC
Northern Trust Company
Panasonic

Please be advised that my signature, on this cover letter, serves as an attestation of agreement with the information included in this application

Sincerely,



Christopher J. Lauzen
Kane County Board Chairman

Trade Act Program Application

Overview Form

FOR DCEO OFFICE USE ONLY

Trade Grant Number: <u>11-661005</u>	1E-C Grant Number: _____
Training Funding Amount: \$ <u>89,693.40</u>	1E-C Supportive Services Funding Amt: \$ _____
Payment Processing Amount: \$ <u>9,827.86</u>	Case Management Amount: _____
Trade Grant Total: \$ <u>99,521.26</u>	1E-C Grant Total: \$ _____

Please provide the following information about the applicant Date: 9 / 20 / 2013

Request Type: Initial Application Funding Modification No Cost Modification Grant Date Extension Only

As part of this application/modification, Supportive Services assistance is being requested. Mod Request #: 2

Grant Period: Trade: 1 / 1 / 2012 to 9 / 30 / 2013 1E-C: / / to / /

of Planned Participants: Carry Over: 11 10/01 - 03/31: 0 04/01 - 09/30: 0

Grant Recipient: Kane County FEIN #: 366006585

LWIA: 05 EDR: 4 - Northeast Preparer's Name: Renata Robinson

Mailing Address: 1 Smoketree Business Park, Suite A City N. Aurora State: IL Zip Code: 60542 - _____

Telephone: (630) 966 - 1435 Ext.: 40078 Email: renatarobinson@kcdee.org

List below the events being submitted for consideration and Check the box next to any event that has not been included in a previous application or modification (continue on a separate sheet for additional events).

New Event	Trade Cert. #	DETS ID	Company Name	City	State	Carry Over	Planned Participants	
							10/01 - 03/31	04/01 - 09/30
<input type="checkbox"/>	73682	20100315001	Hartford Financial Services Group	Aurora		2		
<input type="checkbox"/>	72288	20090203002	Caterpillar	Montgomery		1		
<input type="checkbox"/>	72099	20091130002	Motorola	Schaumburg		1		
<input type="checkbox"/>	70217	20081216002	SKF	Elgin		1		
<input type="checkbox"/>	74545	20100511001	Havi Logistices of N. America	Bloomington		1		
<input type="checkbox"/>	75036	20110524L01	Panasonic	Rolling Meadows		2		
<input type="checkbox"/>	75163	20110405001	Capgemini	Chicago		1		
<input type="checkbox"/>	71957	20091020L01	Ametek	West Chicago		1		
<input type="checkbox"/>	81260	20120130001	Cinram Distribution, LLC	Aurora		1		
<input type="checkbox"/>	81707	20120808001	Northern Trust Company	Chicago				

of Events from Attached Sheet: 2 Total # of Events: 12 Subtotal # Attached Sheet: 0 0 0

If this is a Modification Request for additional funding, complete the following:

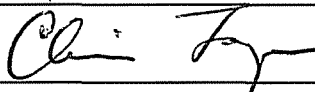
Amount Requested in Initial Application:				Amount Awarded:			
Mod #	Amount Requested	Amount Awarded	Mod #	Amount Requested	Amount Awarded	Mod #	Amount Awarded
1	\$ 89,693.40	\$ 89,693.40	2	\$ -			

The following documents that are "checked" are included in this application/modification:

TAA Carry-Over Report TAA Waiver Review Report

Grant Recipient Authorization

Christopher J. Lauzen, Kane County Chair



9 / 26 / 13

Typewritten Name & Title

Signature

Date

DCEO Authorization:

Typewritten Name

Signature

Date

Trade Act Program Application

Overview Form - Attachment

Please provide the following information about the applicant

Trade Grant Number: 11-661005 1E-C Grant Number: _____ Date: 9 / 20 / 2013

Grant Period: Trade: 1 / 1 / 2012 to 9 / 30 / 2013 1E-C: ___ / ___ / ___ to ___ / ___ / ___

Grant Recipient: Kane County FEIN #: 366006585

LWIA: 05 EDR: 4 - Northeast Preparer's Name: Renata Robinson

List below the events being submitted for consideration and Check the box next to any event that has not been included in a previous application or modification (continue on a separate sheet for additional events).

Subtotal # of Events from Attached Sheet: 2 Subtotal # from Attached Sheet: 0 0 0

New Event	Trade Cert. #	DETS ID	Company Name	City	State	Carry Over	Planned Participants	
							10/01 - 03/31	04/01 - 09/30
<input checked="" type="checkbox"/>	82165L	20130225001	Hostess Brands	Elgin	IL			
<input checked="" type="checkbox"/>	82506R	20130221L23	Experian Marketing Solutions	Schaumburg	IL			
<input type="checkbox"/>								
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Illinois Department of Commerce and Economic Opportunity
Grant Application Cover Page

Trade Act Program Application

DCEO Use Only:	_____
Application #:	_____
Grant #:	_____

Section 1: Applicant Information

1.1 *	Legal Name of Applicant <i>(attach copy of W-9)</i>	Kane County	
1.1.1 *	Name of Grantee	Kane County Department of Employment and Education	LWIA: 05
1.2	Address of Applicant: <i>(Include your 9-digit zip code)</i>	Street: 1 Smoketree Business Park, Suite A City: N. Aurora State: IL Zip: 60542 -	
1.3	Chief Officer: <i>(If more than one, attach a list with all Officers)</i>	Name: Chris Lauzen Title: Kane County Board Chair Address: 719 S. Batavia Avenue, Geneva, IL 60134 Phone: (630) 232 - 5930 Extension: _____ Fax: (630) 232 - 9188 Email: clauzen@kanecoboard.org	
1.4	Description of Applicant: <i>(200 Character maximum)</i>	Local Workforce Investment Area	
1.5	NAICS Code(s): <i>(6-digit Industry Classification Code)</i>	921110	
1.6	Applicant Website:	http://www.countyofkane.org	
1.7	Applicant FEIN:	366006585	
1.8	Applicant SSN:		
1.9	Applicant's DUNS Number:	790448828	
1.10	Applicant's Fiscal Year:	From: 12 / 1 / 2011	To: 11 / 30 / 2012
1.11	If applicable, indicate the following:	<input type="checkbox"/> Women-Owned <input type="checkbox"/> Minority-Owned If minority-owned, then check the appropriate race/ethnic group box.	
		Black/African Americans	<input type="checkbox"/>
		Hispanic Americans	<input type="checkbox"/>
		Native Americans	<input type="checkbox"/>
		Asian-Pacific Americans	<input type="checkbox"/>
		Asian-Indian Americans	<input type="checkbox"/>
1.12	Indicate the number of people expected to be served by the grant in the appropriate race/ethnic group box below.		
	Race/Ethnic Group	# People Served by Grant	
	Black/African Americans		
	Hispanic Americans		
	Native Americans		
	Asian-Pacific Americans		
	Asian-Indian Americans		
	Other:		

Section 2: Applicant History

2.1	Have you received a grant from the State of Illinois within the last three years?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	Provide total number of grants from the State of Illinois within the last 3 years.	>10	
	If yes, provide the following for each grant received in the last three years. Agency: Grant #: Grant Amount: Grant Term: General description: Issues:		
2.2	If applicable, list all Names and FEINs that are registered to your organization or have been registered during the past three years		
	Name	FEIN	
2.3	In the past twelve months, have there been any changes in the following key staff? Check all that apply. Provide detail for any boxes checked including names of the person who left the position and the name of their replacement. Indicate the number of months the position has been vacant if the position is currently vacant.		
	<input checked="" type="checkbox"/> CEO/Executive Director/Chief Elected Official <input type="checkbox"/> CFO/Controller <input type="checkbox"/> Grant Administrator <input type="checkbox"/> Grant Administrative Support Staff (i.e. Reporting, correspondence, document control) <input checked="" type="checkbox"/> Bookkeeper/Accountant for Grant <input type="checkbox"/> No Changes		
	Provide detail for any <input checked="" type="checkbox"/> Elected 11/13, Kane County Board Chairman Chris Lauzen, Grant Accountant change 7/13, Maria Gonzalez checked box.		
2.4	If your proposed budget includes any staff costs for this grant, please indicate the type of documentation that will be maintained and used to allocate staff costs to the DCEO grant.		
	<input checked="" type="checkbox"/> Time sheets <input checked="" type="checkbox"/> Cost allocation plans <input type="checkbox"/> Certifications of time spent <input type="checkbox"/> Other, please describe: <input type="checkbox"/> None		
2.5	Has the applicant or any principal formed a business that existed for less than two years?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	If yes, provide name(s) of the business and reason(s) that it existed for less than two years:		
2.6	Has the applicant or any principal experienced foreclosure, repossession, civil judgment, or criminal penalty (or been a party to a consent decree) within the past seven years as a result of any violation of federal, state, or local law applicable to its business?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	If yes, identify the nature (including case and venue) of the action and the disposition. If the action/proceeding is still pending or unresolved, provide a status identifying the unresolved issues.		
2.7	Is the applicant, or any principal, the subject of any proceedings that are pending, or to the best of applicant's knowledge, threatened against applicant and/or any principal that may result in any adverse change in the applicant's financial condition or materially and adversely affect applicant's operations?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	If yes, provide requested information.		
	Does the applicant or any principal owe any debt to the state?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

2.8

If yes, list reason and amount.

Section 3: Proposal Information

3.1 *	Submittal Date:	9 / 20 / 2013			
3.2	Project Title:	Trade Program (Combined)	LWIA	05	
3.3	Brief Project Description: <i>(This field is automatically entered with information based on the proper selection of Project Title in 3.2 above.)</i>	Training for trade affected that lost jobs as a result of increased import, production shifts, or supply of services out of the United States			
3.3.1	Participants and Events	Number of Participants: 11	Number of Dislocation Events:	12	
3.4	Project Location:	Address: 1 Smoketree Business Park, Suite A City: N. Aurora State: IL Zip: 60542 - County: Kane			
3.5	Areas Served:	LWIA 05	EDR	4 - Northeast	
3.6 *	Project Contact:	Name: Renata Robinson Title: Director of Workforce Operations Address: 1 Smoketree Business Park, Suite A City: N. Aurora State: IL Zip: 60542 - Phone: (630) 966 - 1435 Extension: 40078 Fax: (630) 966 - 1172 E-Mail: renatarobinson@kcdee.org			
3.7 *	Trade Grant Project Period:	Start Date: 1 / 1 / 2012	End Date: 9 / 30 /	2013	
	1E-C Grant Project Period:	Start Date: / /	End Date: / /		
	Grant Date Extension:	Indicate if this is a Grant Date Extension Request: <input type="checkbox"/> Trade Grant <input type="checkbox"/> 1E-C Grant			
3.8	Project Costs: <i>(Complete attached Budget)</i>	Funding provided by the applicant:			
		Secured funding from other sources:			
		Trade Training Funding requested from DCEO:	\$	89,693.40	
		1E-C Case Management & Supportive Services Funding requested from DCEO			
		Total Project Cost:	\$89,693.40		

Section 4: Scope of Work

4.0	Project Title	Trade Program (Combined)	
4.1	Description of project:	Trade - LWIA 05	<p>This grant is to provide funding for activities for TAA Petition Determinations, authorized under the Trade and Globalization Adjustment Assistance Act (TGAAA) of 2009 (Division B, Title I, Subtitle I of the American Recovery and Reinvestment Act of 2009, Public Law (P.L.) No 111-5); Trade Adjustment Assistance Reform Act of 2002 (P.L. No 107-210); the Trade Act of 1974, as amended (P.L. No. 93-618, as amended); Trade Adjustment Assistance Extension Act of 2011, (hereafter collectively referred to as "Trade Acts"); 20 CFR Part 617; 20 CFR Part 618; 29 CFR Part 90; Training and Employment Guidance Letter (TEGL) 22-08, TEGL 22-08 Change 1, TEGL No. 11-02 and subsequent changes; TEGL No. 2-03 and subsequent changes; TEGL 8-11; TEGL 09-05; TEGL 1-10 and subsequent changes; TEGL 10-11; TEGL 16-10 and subsequent changes; TEGL 17-05; Unemployment Insurance Program Letter (UIPL) No. 02-03 and subsequent changes; UIPL No. 12-11; and UIPL No. 21-09.</p> <p>The Grantee agrees to provide Trade-allowed services as detailed in its grant application and any subsequent revisions thereto, on file with and approved by the Department. Those services may include establishment and maintenance of eligibility, job retraining and related expenses including tuition, books, tools and academic fees; Trade transportation and/or subsistence assistance; job search allowances and/or relocation allowances for eligible participants.</p>
	<p>Description of Tasks</p> <p><i>Grantee will complete the following tasks:</i></p>		
4.2			Estimated Completion Date
	<i>Grantee will complete the following tasks:</i>		/ /
	Task 1	If needed, provide establishment and maintenance of eligibility for eligible participants.	9 / 30 / 2013
	Task 2	If needed, provide job retraining and related expenses including tuition, books, tools, and academic fees; Trade transportation and/or subsistence assistance.	9 / 30 / 2013
	Task 3	If needed, provide job search allowances for eligible participants.	9 / 30 / 2013
	Task 4	If needed, provide relocation allowances for eligible participants.	9 / 30 / 2013
	Task 5	N/A	/ /
	Task 6	N/A	/ /
	Task 7	N/A	/ /
Task 8	N/A	/ /	

	Task 9	N/A	/	/
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Section 5: Performance Measures	
Performance Measure	Target
N/A	

Section 6: Projected Employment Impact		
6.1	Number of permanent full-time individuals currently employed by applicant:	20
6.2	Number of permanent part-time individuals currently employed by applicant:	0
6.3	Number of permanent full-time jobs that would be created by applicant as a direct result of receiving the grant award:	0
6.4	Number of permanent part-time jobs that would be created by applicant as a direct result of receiving the grant award:	0
6.5	Number of permanent full-time jobs that would be retained by applicant as a direct result of receiving the grant award:	0
6.6	Number of permanent part-time jobs that would be retained by applicant as a direct result of receiving the grant award:	0
6.7	Describe any other projected employment impact as a result of receiving the grant award:	

Section 7: Budget			
Line Item or Cost Category Description		Requested Grant Budget Amount	Proposed Match Budget Amount
7.1	Training	\$89,693.40	\$0.00
7.2	Out-of-Area Job Search - TAA	\$0.00	
7.3	Out-of-Area Relocation - TAA	\$0.00	
7.4	Out-of-Area Job Search - TGAAA	\$0.00	
7.5	Out-of-Area Relocation - TGAAA	\$0.00	
7.6	(enter new line item as necessary)	\$0.00	
7.7	1E-C Case Management & Supportive Services	\$0.00	
7.8	(enter new line item as necessary)	\$0.00	
Trade Training Total Cost (sum of items 1-6):		\$89,693.40	\$0.00
1E-C Supportive Services Total Cost (sum of items 7-8):		\$0.00	\$0.00

Section 8: Program Specific Information

See Attached Forms

Applications for funding, modifications, and exceptions may be submitted at any time during the program year. The grantee must submit the original signed application (or modification) to:

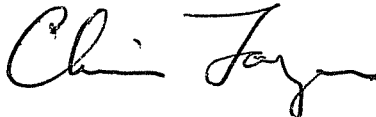
Ms. Susan Boggs
Illinois Department of Commerce and Economic Opportunity
Office of Employment and Training
500 E. Monroe, 9th Floor
Springfield, IL 62701
ATTN: Ms. Crystal Bigelow, LWIAs 1 - 14, 27 (ABLE) or ATTN: Ms. Lorraine Wareham, LWIAs 15 - 26

In addition, an electronic submission of the entire application (or modification) packet must be made to crystal.bigelow@illinois.gov or lorraine.wareham@illinois.gov with an electronic carbon copy to susan.boggs@illinois.gov.

Section 9: Applicant Certification

Under penalty of perjury, I certify that I have examined this application and the document(s), schedule(s), and statements(s) submitted in conjunction herewith, and that, to the best of my information and belief, the information contained herein is true, correct, and complete. I represent that I am the person authorized to submit this application on behalf of the applicant, and that I am authorized to execute a legally binding grant agreement on behalf of the applicant, and that I am authorized to execute a legally binding grant agreement on behalf of the applicant if this application is approved for funding.

I hereby release to DCEO the rights and use of photographs and/or any written statements or information, regardless of format (whether they are direct quotes or paraphrased by DCEO), contained in or provided after grant application for the purpose of publication on DCEO's website. I hereby also release any and all claims against DCEO, its officers, agents, employees, and/or affiliates arising out of, or in connection with, the usage of photographs and/or written statements or information, regardless of format (whether they are direct quotes or paraphrased by DCEO), for the purpose of publication on DCEO's website.



Chris Lauzen, Kane Co. Board Chairman

9 / 25 / 13

Signature

Name and Title

Date