

OFFICE OF THE COUNTY AUDITOR  
KANE COUNTY GOVERNMENT CENTER

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## MEMORANDUM

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**DATE:** July 31, 2008

**TO:** Elected Officials, Department Heads and County Board Members

**FROM:** William F. Keck, Auditor *WFK*

**RE:** Statement of Economic Interest Review

Enclosed is our review of the Statement of Economic Statement filings for the May 1, 2007 and May 1, 2008 filing deadlines. Included therein are our findings, conclusion and recommendation.

If you have any comments or need additional information, please contact me.

STATEMENT OF ECONOMIC INTEREST REVIEW  
MAY 1, 2007 AND 2008 FILING DEADLINES

INTRODUCTION

According to 5 ILCS 420/4A-101 of the Illinois Compiled Statutes, the following persons shall file verified written statements of economic interest with the Kane County Clerk:

1. Elected officials and candidates for nomination or election to that office.
2. Citizens appointed to zoning board of appeals, plan commission, board of review and any other governing boards for the County of Kane.
3. Employees who receive compensation for services rendered and are as follows:
  - a. Department Heads
  - b. Supervisor with direct responsibility for the formulation, negotiation, issuance or execution of contracts in an amount of \$1,000.00 or greater.
  - c. Authorized to approve licenses and permits.
  - d. Authorized to adjudicate, arbitrate or decide any judicial or administrative proceeding. Also, authorized to review this action taken by others.
  - e. Authorized to issue or promulgate rules and regulations.
  - f. Supervisors with responsibility for 20 or more employees.

Persons subject to filing requirements must disclose any economic interest specified in section 4A-102 on a form provided by the County Clerk.

Candidates for elective office receive their statements of economic interest forms with their nomination petitions. The County Clerk will request certification from Elected Officials and Department Heads for the names of employees in their departments who satisfy the criteria in 3 (a-f) above. Likewise, requests will be submitted to Elected Officials and governing boards for certification in accordance with the criteria in 1 and 2 above. The certification deadline is set by state statute. When persons required to file are certified, the County Clerk will submit to them a Statement of Economic Interest form on or before April 1 of each year.

Candidates for elective office must file their statement of economic interest form with their nomination petitions unless this form has been filed with the government unit within a year preceding the deadline. All other persons required to file a statement of economic interest form must do so by May 1 of each year. An exception is when a person takes office or is otherwise required to file a statement of economic interest form within 30 days prior to May 1 of the year. Then, the deadline is extended to May 31 without penalty.

Within seven (7) days after the May 1 filing deadline, the County Clerk will notify each person who has failed to meet this deadline by certified mail. Such person shall file their statement of economic interest form by May 15 along with a \$15.00 late filing fee. Any such person who fails to file by May 15 shall be subject to a penalty of \$100.00 per day from May 16 until their filing date. This penalty shall be assessed in addition to the \$15.00 late filing fee. Failure to file a statement of economic interest form by May 31 shall result in forfeiture according to Section 4/A-105 of this Act. Also, within seven (7) days of the May 31 filing deadline provided for

