

# COUNTY OF KANE

*Christopher J. Lauzen*  
Kane County Board Chairman



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December 1, 2014

## **Notice of Independent Registered Municipal Advisor Representation**

The County of Kane, Illinois (the "County") hereby represents that as of the above date, it has engaged the following firm to serve as its Independent Registered Municipal Advisor ("IRMA") to represent and advise the County on an ongoing basis with respect to matters including, but not limited to, those relating to municipal financial products or the issuance of municipal securities, including advice with respect to the structure, timing, terms, and other similar matters concerning such financial products.

Speer Financial, Inc.  
1 North LaSalle Street, Suite 4100  
Chicago, IL

Attn:

David Phillips  
Senior Vice President  
dphillips@speerfinancial.com

Daniel Forbes  
Vice President  
[dforbes@speerfinancial.com](mailto:dforbes@speerfinancial.com)

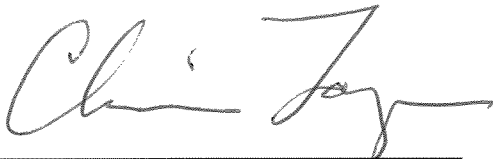
The representation of the IRMA specifically includes the evaluation of presentations and proposals made to the County by individuals or firms ("Market Participants"), including, but not limited to the matters listed above as well as the determination and resolution of any potential conflicts of interest. The County will communicate regularly with its IRMA with respect to communications received from Market Participants and will rely on the advice provided by its IRMA.

The IRMA has represented to the County that it is an “independent registered municipal advisor” within the meaning of the SEC Municipal Advisor Rule 15Ba-1(d)(3)(vi) (the “Rule”).

The County intends to provide this written representation and post it on the Finance section of the Kane County, Illinois website so that any Market Participant including, but not limited to broker-dealers or prospective underwriters can use it for purposes of the IRMA exemption under the Rule in connection with any information, proposal, recommendation or other communication provided by the market participants, in advance of such market participants’ being engaged by the County as an underwriter, or otherwise.

The County makes no representation as to the sufficiency of the foregoing for such purpose and specifically disclaims any responsibility or liability with respect to compliance with the Rule by any market participant or other party, other than with respect to the accuracy of the representations made herein.

This Notice of Independent Registered Municipal Advisor Representation is in effect until rescinded or revised by the County. Inquiries regarding this Notice should be directed to the Kane County Finance Department at (630)208-5113.



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Christopher J. Lauzen  
Kane County Board Chairman



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Joseph Onzick  
County Financial Officer